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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. Patent Application  
Gerhard SCHWENK et al.

Confirmation No. 8640

Serial Number: 10/575,078

Group Art Unit: 3722

Filed: April 7, 2006

Examiner: Unassigned

Attorney Docket No. SCHW3003/JEK

For: VALUE DOCUMENT

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to Rule 37 C.F.R. §1.51(b), §1.56, §1.97, and §1.98, this Information Disclosure Statement is submitted in the above-identified patent application. A listing of documents to be published on the face of any patent granted from this application is submitted herewith on Form PTO/SB/08. Any other documents or information submitted for consideration by the Examiner are listed in this paper. A copy of each U.S. and foreign patent, or each publication or portion thereof listed or herein identified is submitted herewith, except that (1) a copy of any U.S. patent application identified herein or any patent, publication or other information listed herein cited or submitted in a prior application relied upon for an earlier filing date under 35 U.S.C. §120 and identified below, is not submitted herewith, and (2) a copy of any U.S. patent or published patent application identified herein is not submitted herewith, if this application was filed or entered the U.S. national stage on or after June 30, 2003 (or if applicable, this international application entered the U.S. national stage on or after June 30, 2003).

**ADDITIONAL DOCUMENTS OR INFORMATION NOT LISTED ON  
ACCOMPANYING FORM PTO/SB/08 SUBMITTED FOR CONSIDERATION**

International Search Report mailed January 18, 2005 established in International Patent Application No. PCT/EP2004/011129, of which this application is the U.S. national stage.

**CONCISE STATEMENT OF RELEVANCY  
(NON-ENGLISH LANGUAGE DOCUMENTS ONLY)**

The relevancy of all the documents identified on the International Search Report that was established in International Application No. PCT/EP2004/011129, of which this application is the U.S. national stage, is evident from review of the International Search Report which is appended hereto.

The relevancy of the remaining documents identified on the PTO/SB/08 form is evident from the specification of this application in which these documents are identified.

With regard to DE 102 04 870 A1, the relevancy of this document also will be apparent from an English translation of paragraphs 26-29 appended to the document.

**STATEMENT REGARDING TRANSLATIONS**

English language translations of the non-English language documents identified on the appended PTO/SB/08 form are not readily available to Applicants' attorney apart from corresponding English language patents or published applications identified herein or English language translations of a portion of the document that may be appended to the document transmitted herewith.

An English language translation of paragraphs 26-29 of DE 102 04 870 is appended to the document.

**STATEMENT OF CUMULATIVE INFORMATION**

U.S. 6,506,476 corresponds to EP 0 975 468.

Serial Number: 10/575,078  
Attorney Docket: SCHW3003/JEK

U.S. 2002/0166647 corresponds to DE 101 20 818.

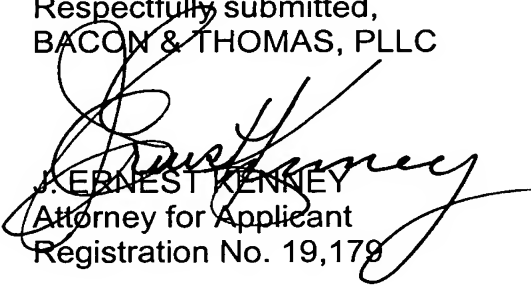
U.S. 2005/0052519 corresponds to DE 101 49 265.

**STATEMENT OF TIMELINESS**

This Information Disclosure Statement is submitted prior to the mailing date of the first Office Action on the merits received by Applicant in the above-identified application.

The Examiner is requested to acknowledge consideration of the information provided in this paper in accordance with prescribed procedures.

Respectfully submitted,  
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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/575,078
Filing Date	April 7, 2006
First Named Inventor	Gerhard SCHWENK
Art Unit	3722
Examiner Name	Unassigned
Attorney Docket Number	SCHW3003/JEK

Sheet	1	of	1
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**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. 1	Document Number Number-Kind Code <sup>2</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 6,357,656 B1	03-19-2002	PUFF	
		US- 6,506,476 B1	01-14-2003	KAULE et al.	
		US- 2002/0166647 A1	11-14-2002	ATTENBERGER et al.	
		US- 2005/0052519 A1	03-10-2005	MAYER et al.	
		US-			
		US-			
		US-			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. 1	Foreign Patent Document Country Codes-Number 4-Kind Codes (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		DE 101 20 818 A1	10-31-2002	Giesecke & Devrient GmbH		
		DE 101 49 265 A1	04-17-2003	Giesecke & Devrient GmbH		
		DE 102 04 870 A1	08-14-2003	Infineon Technologies AG		
		EP 0 052 624 B1	06-02-1982	GAO Gesellschaft Für Automation ...		
		EP 0 053 124 B1	06-09-1982	GAO Gesellschaft Für Automation ...		
		EP 0 659 935 B1	06-28-1995	Giesecke & Devrient GmbH		
		EP 0 975 468 A	02-02-2000	Giesecke & Devrient GmbH		
		EP 1 253 241 A2	10-30-2002	Giesecke & Devrient GmbH		
		GB 1,127,043	09-11-1968	Portals Limited		
		WO 97/39428	10-23-1997	De La Rue International Limited		

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.